

# BEST AVAILABLE COPY

PATENT APPLICATION

Sheet 1 of 2

<b>FORM PTO-1449</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR</b> <b>APPLICANT'S INFORMATION DISCLOSURE</b> <b>STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>10030808-1</b>	SERIAL NO.
	APPLICANT <b>Kendra Gallup et al.</b>	
	FILING DATE <b>Sept. 19, 2003</b>	GROUP

## REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
TDN		5,875,205	02/23/1999	Spaeth et al.
		5,981,945	11/09/1999	Spaeth et al.
		6,228,675	05/08/2001	Ruby et al.
		6,265,246	07/24/2001	Ruby et al.
		6,376,280	04/23/2002	Ruby et al.
		6,429,511	08/06/2002	Ruby et al.
TDN		6,556,608	04/29/2003	Gilliland et al.

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

TDN	Chien Chieh Lee et al., "Silicon-Based Transmissive Diffractive Optical Element", Optics Letters, Vol. 28, No. 14, July 15, 2003, Optical Society of America, pp. 1260-1262.
TDN	U.S. Patent Application Serial No. 10/210,598 filed July 31, 2002 entitled "Optical Fiber Coupler Having A Relaxed Alignment Tolerance," Inventor: Christopher L. Coleman, 17 pages.
TDN	U.S. Patent Application Serial No. 10/208,570 filed July 30, 2002 entitled "Diffractive Optical Elements And Methods of Making the Same", Inventors: James A. Matthews, Wayne H. Grubbs, 18 pages.

EXAMINER

DATE CONSIDERED

\* ~~C~~ ~~pieces~~ of these references are n t enclosed pursuant to 37 CFR 1.98(d). (See ac ompanying IDS)